This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

12-10-03

3 + 2

docs

lease type a plus sign inside this box

PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE spond to a collection of information unless it displays a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

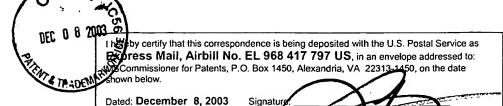
	Application Number	10/664,225	
	Filing Date	September 16, 2003	
	First Named Inventor	Pontus von BAHR	
	Group Art Unit	Not Yet Assigned	
	Examiner Name	Not Yet Assigned	
	Attorney Docket Number	514862000700	

	ENCLOSURES (check all that apply)					
Fee Transmittal Form		Assignment Papers (for an Application)	After Allowance Communication to Group			
Fee Attached		Drawing(s)	Appeal Communication to Board of Appeals and Interferences			
Amendment/Reply		Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final		Petition	Proprietary Information			
Affidavits/declar	ation(s)	Petition to Convert to a Provisional Application	Status Letter			
Extension of Time Re	quest	Power of Attorney, Revocation Change of Correspondence Address	X Other Enclosure(s) (please identify below)			
Express Abandonment Request Information Disclosure Statement X Certified Copy of Priority Document(s) - 2 pgs w 2 priority docs attached Response to Missing Parts/ Incomplete Application		Terminal Disclaimer	RETURN RECEIPT POSTCARD			
		Request for Refund				
		CD, Number of CD(s)				
		Remarks				
Response to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm Michael R. Ward (Reg. No. 38,651) or MORRISON & FOERSTER LLP						
Signature Michael Pland						
Date December 8, 2003						
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EL 968 417 797 US, in an envelope addressed to: MSCommissioner for Patents B.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.						

Dated: December 8, 2003

(Lilia Olsen)

EL96417797US



Docket No.: 514862000700

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Pontus V. BAHR et al.

Application No.: 10/664,225

Filed: September 16, 2003

For: APPARATUS AND METHOD FOR DIAGNOSTIC GAS ANALYSIS

ABBABARUS AND ASSESSED FOR

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

CLAIM FOR PRIORITY AND SUBMISSION OF DOCUMENTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby claims priority under 35 U.S.C. 119 based on the following prior foreign applications filed in the following foreign countries on the dates indicated:

Country	Application No.	Date
Sweden	0202906-4	October 2, 2002
Sweden	0202742-3	September 16, 2002

In support of this claim, a certified copy of each said original foreign application is filed herewith.

Dated: December 8, 2003

Respectfully submitted,

Michael R. Ward

Registration No.: 38,651

MORRISON & FOERSTER LLP

425 Market Street

San Francisco, California 94105

(415) 268-6237



Intyg Certificate



Härmed intygas att bifogade kopior överensstämmer med de handlingar som ursprungligen ingivits till Patent- och registreringsverket i nedannämnda ansökan.

This is to certify that the annexed is a true copy of the documents as originally filed with the Patent- and Registration Office in connection with the following patent application.

- (71) Sökande Aerocrine AB, Solna SE Applicant (s)
- (21) Patentansökningsnummer 0202906-4 Patent application number
- (86) Ingivningsdatum 2002-10-02
 Date of filing

Stockholm, 2003-09-15

För Patent- och registreringsverket For the Patent- and Registration Office

KM År Gerden Rerstin Gerdén

Avgift

Fee 170:-



10

Apparatus and method for diagnostic gas analysis

The present invention relates to the field of diagnostic gas analysis, and in particular to the determination of endogenous nitric oxide (NO) in exhaled breath of humans.

Background of the invention

The discovery of endogenous NO in exhaled air, and its use as a diagnostic marker of inflammation dates back to the early 1990 (See e.g. WO 93/05709; WO 95/02181). Today, the significance of endogenous NO is widely recognised, and since a few years back, a clinical analyser is available on the market (NIOX*, the first tailor-made NO analyser for routine clinical use with asthma patients, AEROCRINE AB, Solna, Sweden).

In the summer of 1997 the European Respiratory Journal

published guidelines (ERS Task Force Report 10:1683-1693) for
the standardisation of NO measurements in order to allow their
rapid introduction into clinical practice. Also the American
Thoracic Society (ATS) has published guidelines for clinical
NO measurements (American Thoracic Society, Medical Section of
the American Lung Association: Recommendations for
standardized procedures for the online and offline measurement
of exhaled lower respiratory nitric oxide and nasal nitric
oxide in adults and children - 1999, in Am J Respir Crit Care
Med, 1999; 160:2104-2117).

The NIOX® analyser for clinical use, and others mainly intended for research applications, are based on chemiluminescence determination of NO. While highly accurate and reliable, chemiluminescence determination of NO requires an advanced apparatus involving an ozone generator, a vacuum pump, means for dehumidification of the exhaled air, to mention only a few examples. Although the chemiluminescence analysers have

٠.

15

20

25

developed significantly, they are still rather expensive and bulky.

Prior art

WO 01/26547 discloses a handheld respiratory NO meter having a low resistance flow pathway throughout the device. Placed in this pathway is a NO concentration sensor generating electrical signals as a function of the instantaneous fraction of NO as the respiration gases pass through the flow pathway. The NO sensor is defined as a fluorescence based sensor having a response time preferably less than or equal to 200 ms, and most preferably less than or equal to 100 ms. Even faster response times are stated to be desirable.

While appealing as a concept, it appears to be practically very difficult if not impossible to achieve accurate and reliable NO determinations in the ppb range using a device according to WO 01/26547.

The objective of the present invention is to make available a portable, preferably handheld device, for diagnostic determinations of NO. Further aims include the goal to make the device easy to use, robust and reliable, while maintaining the high accuracy and sensitivity of the chemiluminescence analysers.

One particular objective of the present invention is to make available a device for diagnostic NO-measurements operating with an electrochemical sensor, which device is easily used in the clinics or at point-of-care, however without compromising the accuracy and reliability of the measurements.

Another objective is to make available a handheld and robust device, preferably also being a relatively low-cost device, again without compromising the accuracy and reliability of the measurements.

Further objectives, solved by the present invention, and advantages associated therewith will become evident from the following description and examples.

Summary of the invention

The objectives of the present invention are met by a device and method according to the attached claims. According to the invention, the device comprises at least an electrochemical NO sensor, an inlet/outlet through which a patient inhales NO-free air through a scrubber, and exhales exhaled air at a predetermined flow rate and pressure, a buffer chamber for temporarily storing a portion of the exhaled air, and means for feeding said stored portion of the sample to said NO sensor during a period of time longer than the duration of the exhalation and/or at a flow rate much below the exhalation flow rate. The method includes at least the steps and operations corresponding to the above.

Short description of the drawings

The invention will be described in closer detail in the following description, non-limiting examples, and claims, with reference to the attached drawings in which:

Fig. 1 shows schematically the components of a device according to the invention.

Description

The present inventors have surprisingly shown that the
25 electrochemical sensor technology can be successfully applied in diagnostic measurements of NO.

It was however not possible to apply an electrochemical sensor to NO measurements directly. An electrochemical sensor has a considerably longer response time than other, hitherto used NO ٠.

20

sensors, such as the commonly used chemiluminescence sensors. While a chemiluminescence sensor makes an instantaneous determination of the NO concentration in a gaseous sample, an electrochemical sensor requires longer time for establishing a stable signal. Further, electrochemical sensors suffer from high sensitivity to contaminants, e.g. a sensitivity to variations in humidity, a cross sensitivity to water and other compounds, low NO sensitivity, as well as a considerable temperature and flow dependence. If correctly calibrated, 10 chemiluminescence sensors are also highly accurate, down to around ± 1 ppb. Consequently electrochemical sensors have hitherto not been used for diagnostic NO measurements, i.a. due to their long response time, and their relatively high detection levels (low sensitivity) and interference to other 15 compounds.

As a result of the inventive efforts, it has surprisingly become possible to apply the electrochemical sensor technology to diagnostic NO measurements where a high reliability and accuracy in the lower ppb range (0 to 200 ppb, preferably 0 to about 50 ppb) is required, a novel device had to be developed.

In general terms, the device according to the invention has the following functionality and/or means for performing said functions (see also Fig. 1):

The device has a combined inlet/outlet 1, capable of engaging a disposable filter (not shown) through which the patient first inhales NO-free air via a built-in scrubber removing NO from the ambient air, and then exhales, during which exhalation phase a sample is taken for NO-measurement and led to the sensor.

Preferably the inlet of the device is designed to tightly engage a disposable patient filter / mouthpiece filter. This filter may be a conventional filter, capable of ensuring

20

viral/bacterial free air during normal inhalation, such as a 0.22 μ filter. The filter is preferably a NIOX® PATIENT FILTER, marketed by Aerocrine AB, Solna, Sweden (Catalogue no. 02-1201).

5 The patient inhales clean, NO-free air through the mouthpiece / filter, and then exhales through the same filter, into the device. The filter thus fills two functions, as it both protects the patient and the device from particulate matter, viruses, bacterial, aerosols etc. The disposable filter has the added advantage of preventing spread of infections or patient-to-patient contagion.

In the vicinity of the inlet/outlet 1, a pressure sensor 2 is situated. The pressure sensor has the function of monitoring the breath, to ensure that the soft palate is closed during exhalation, to ensure that accurate exhalation pressure is maintained (the option of giving feed-back to the patient may be included) and to check that the inhalation of NO-free air is performed through the apparatus, i.e. through a NO-scrubber 3. The device also has an inlet 4 for ambient air, leading to said scrubber 3. The scrubber in turn is connected via a one-way valve 5 to the inlet/outlet 1, so that the patient can inhale NO-free air, but preventing exhaled air to pass said one-way valve.

The scrubber may be a conventional chemical NO scrubber,
having an inlet and an outlet, and a main body filled with
suitable filter media, e.g. a KMnO₄ based filter media, such as
Purafil® Select (Purafil Inc., USA) in a custom filter supplied
by AirSafety Ltd. The construction of the filter, and
arrangements for taking a zero sample is the subject of a copending patent application.

Further, in connection to the inlet/outlet 1 is a flow regulator 6, which has the function of controlling the

20

exhalation flow with high accuracy to 20-800 ml/s, preferably 50 ml/s ($\pm 5 \text{ ml/s}$) when the user adapts to the feedback given by the device.

The exhalation air is then led, through the flow regulator 6, to a buffer chamber 7, at the end of which a flush valve 8, and a three-way valve 9, are situated. During the initial phase of the exhalation, the flush valve 8 is open, and the three-way valve 9 closed, and the exhaled air is thus led to the ambient atmosphere. At a predetermined time, the flush valve 8 will close, and the three-way valve 9 open, so that the sample stored in the buffer chamber 7 will be led though the three-way valve 9, with the aid of a sample pump or fan 10, to the sensor 11.

According to a preferred embodiment of the invention, the sample pump 10 is a plunger pump. This type of pump has the advantages of being insensitive to variations in flow, and gives a low, even flow with high accuracy.

Before reaching the sensor, the sample is preferably led through means 12 for equalising the humidity of the sample to ambient conditions, and means 13 for equalising the temperature of the sample to the same stabilised temperature as that of the sensor, which, according to an embodiment, is controlled to a set temperature, different from the ambient temperature.

25 Preferably said means 13 acts to temperate both the sample and the sensor, e.g. by surrounding the sensor and by forming a large contact area for the gas flow. Alternatively, the temperature of the sample and/or that of the sensor is measured, and the results compensated for the temperature according to the specifications of the sensor.

The device further comprises means for controlling the functions of the above means, such as control electronics 14, which receive and analyse input e.g. from the sensors, and the user interface, and control the valves and the sample pump.

- The means 14 will also handle data acquisition, signal processing, data storage, communication with external units, and the user interface. External communication can be performed using one or several of the following options: a memory card or microprocessor card, an EEPROM card, in the
- 10 following designated "smartcard", IR-communication, BLUETOOTH®, or other form of wireless communication, or via a conventional serial or parallel port.

The provision of a smartcard has among other advantages, the particular advantage that every patient is free to use any device according to the invention, and information relating to the patient will automatically be stored in the device, together with the measurement results. Simultaneously, information relating to the device and sensor will automatically be stored on the smartcard, together with the measurement results. This gives greatly added flexibility, without compromising the documentation requirements in diagnostic applications.

The device further comprises a user interface 15, one component of which has the form of a display, such as a liquid crystal display (LCD), preferably a touch screen, for displaying data to the user, and for receiving commands and settings from the user, e.g. for programming and/or parameter setting, functionality check or similar, performed by a qualified user, or by specifically designated service staff.

30 Alternatively, these functions or part thereof may be performed through a conventional PC-interface, e.g. a conventional serial port (e.g. a USB port), or a parallel port.

The device preferably also comprises means for keeping track of current date and time, as well as means for setting the current date and time. There is preferably also an alarm function, which can be set for single or recurrent alarms, for example a specific time every day. It is possible to set the alarm time and recurrence, as well as to enable / disable the alarm. The alarm function has the advantage of improving patient compliancy with regard to monitoring their condition, and hopefully also with regard to the treatment of the same.

10 In summary, the input reaching the means 14 consist of signals from the pressure sensor, the NO sensor, the user interface, external communication interfaces, and the temperature control. The output leaving the means 14 consist of signals regulating the position of the flush valve, the three-way valve, the sample pump, the temperature control and the user interface.

In the device according to the invention, the sample of exhalation air is collected in accordance with the standardised exhalation manoeuvre (See ERS Guidelines 1997, ATS Guidelines 1999, supra) where after it is temporarily stored in a buffer chamber, which makes it possible to expose the sensor to a zero-sample or a patient sample at a steady flow, during a prolonged period of time, in order to obtain an accurate response from the sensor.

The device according to the invention includes a buffer chamber, and means for filling said buffer chamber during controlled exhalation, thus taking a sample of exhaled air for NO measurement. The volume of said buffer chamber is chosen so that it is sufficient to hold a sample, which then can be delivered to the sensor during a prolonged period of time, e.g. a volume of 150 ml. The means for filling said buffer chamber may include a valve or a set of valves. The means for

15

20

25

30

filling said buffer chamber with a sample of exhaled air is preferably a valve allowing exhaled air to fill the buffer chamber during a pre-set duration of the exhalation.

The means for supplying the sample to the sensor preferably consist of a sample pump or fan.

Further, there are means for supplying NO-free air to the sensor, said means preferably consisting of a pump or fan, drawing air through a NO-scrubber. This pump or fan may be identical to that supplying the sample to the sensor, the source of gas (patient sample / zero sample) being controlled by one or several valves.

When the buffer chamber is filled with the desired sample, said means for delivering the sample to the sensor is/are activated. Such means include a sample pump or fan, supplying the sensor with a flow of about 0.5 to 15 ml/s, preferably from about 2 to about 10 ml/s during a predetermined time, longer than the exhalation time. This time is set in relation to the properties of the sensor, its sensitivity and configuration. The time can be chosen in an interval of about 15 to about 300 s, and preferably when the flow is about 2 ml/s, the time will be about 30 s or about 50 s, depending on the properties of the sensor.

The buffer chamber is a space for temporarily storing a portion of exhaled breath, in order to deliver it to the sensor at a flow and during a duration of time, adapted to the response time of said sensor. Preferably said buffer chamber is a space, which meets at least one of the following requirements:

- no significant diffusion of NO into the walls of the buffer chamber

20

30

- no significant diffusion of substances which interfere with the NO measurem nt
- turbulent flow
- no significant adhesion of NO to the inner walls
- 5 According to one embodiment of the invention, said buffer chamber is formed as a maze of canals with a round, elliptic, square or rectangular cross section, e.g. moulded in a block of thermoplastic material.

According to another embodiment, said buffer chamber is formed as a length of tubing of a suitable, inert material, such as polyolefine tubing.

According to yet another embodiment, said buffer chamber is formed as a cylinder with a movable end wall or piston. By operating said end wall or piston longitudinally, sample is aspirated into and displaced out from the cylinder. This embodiment can be exemplified by a syringe where the volume of the syringe corresponds to the volume of the sample to be taken, and the rate at which the piston displaces the sample is equal to the rate at which the sample is to be fed to the sensor.

According to yet another embodiment, said buffer chamber is formed as a bellows of a suitable material. The sample is allowed to enter the bellows, either by the pressure exerted by the patient when exhaling into the device, or aided by mechanically expanding the bellows. The sample is then displaced by mechanically compressing the bellows.

In the determination of nitric oxide concentration using an electrochemical sensor, both the temperature of the sensor and the gas flow are critical factors. The temperature of the sensor influences its sensitivity, and consequently fluctuating temperatures between separate measurements will

result in poor repeatability and reduced precision and /or accuracy. Correspondingly, the temperature of the gas flow, as it meets the surface of the sensor, will influence the temperature of the sensor, with the above consequences.

5 In the device according to the present invention, and in the corresponding method, the temperature may be registered, and the results adjusted to the temperature using a correlation factor. Preferably, the temperature of both the gas and the sensor is accurately controlled by enclosing the sensor in means which both temperate the sensor and the sample gas before it reaches the sensor. The construction of such means is the subject of a co-pending patent application.

Electrochemical sensors are known to be sensitive to fluctuations in humidity. The device according to the invention preferably includes means for equalising the humidity of the sampled exhalation air, as well as the zero sample, with ambient humidity. Such means may consist of a length of Nafion® tube, through which the sample is led (Nafion® is a perfluorinated polymer membrane, the trademark being the property of E.I. du Pont de Nemours & Co). The advantage of this lies in that the patient sample and the zero sample will have the same humidity when reaching the sensor.

Electrochemical sensors unfortunately have a limited life span, due to the electrolyte depletion.

25 According to the method and device of the present invention, the life span of the sensor is subject of a two-fold consideration. The device is equipped with means capable of establishing the production date and/or calibration date and/or expiration date of the sensor, e.g. by reading such information stored in association to the sensor, preventing use of the sensor according to pre-set criteria, e.g. when the expiration date is reached.

15

::

The device is further equipped with means for registering the number of measurements performed with a sensor, and preventing use of the sensor according to pre-set criteria, e.g. detection or determination of necessary sensor parameters.

5 The above means and associated functions have the advantage of making it possible to guarantee that each measurement is performed with a well functioning sensor.

The device according to the present invention has a novel, greatly simplified visual interface. The visual interface comprises a display, which indicates the state of the device (e.g. ON / START UP / READY / BUSY / OFF etc.) and guides the user through the inhalation and/or exhalation, and presents the result of the measurement. This display is preferably a conventional display, such as a liquid crystal display (LCD). Most preferably said display is a so called touch screen.

The above functions can be further supported by visual and audible signals, such as one or more blinking light/-s, user messages on a display, signals consisting of different symbols or colours, an audible signal which changes in tone or rhythm, 20 all depending on the state of the device, or on the performance of the patient when inhaling and/or exhaling. For example, the device may display one symbol or colour when in START UP mode, and another symbol or colour when the START UP mode is completed, and the device is ready for measurements or 25 enters READY mode. Likewise, the device may display one first symbol or colour, either blinking or steady, when the user inhales and/or exhales incorrectly, and then another second symbol or colour or other signal, clearly distinguishable from said first symbol, colour or signal when the inhalation and/or 30 exhalation is performed according to pre-set requirements, ensuring good repeatability of the measurements. Parameters to be controlled and associated to visual and/or audible signals

include the duration and pressure of the inhalation, and the exhalation, respectively.

The above means and associated functionalities make the device suitable for use by all patients, either alone or under the supervision of medical personnel, e.g. their treating physician or a nurse, for point-of-care use, as well as for home use by individual patients, monitoring their disease.

The device according to the present invention is preferably capable of communicating with its surroundings in many ways.

With the patient, the device will communicate audibly and/or visually, indicating basic functions, state of readiness, proper use (inhalation, exhalation) and the result of the measurement. It is possible e.g. to send configuration data between an external software and a smartcard via the device.

15 Further, the device preferably includes an IR port for communication with a computer, e.g. for storing patient data in a database, for further analysis of the data or a separate IR printer for measurement report print-out. The IR port may also work to incorporate the device in a local network,

20 enabling the use of local printers or in other ways to handle measurement results and patient information.

The device according to the invention preferably also includes a smartcard interface for entering and storing individual patient data. When using the device outside a clinical setting, each user would be given a personal smartcard. Preferably the smartcards would be pre-programmed to contain the settings relevant for different patient groups, e.g. male, female, child, or the settings relevant to patients of different race, age or bodyweight, in order to account for differences in dead space, or other physiologic differences.

The NO measurement results would then be recorded on the smartcard, together with information regarding the identity of the device and sensor used in the measurement, the date and time of the measurement, and optionally the ambient

temperature and humidity. According to one embodiment, the smartcard would be designed to carry the patient history, and NO levels, optionally together with information regarding medication, doses, and subjective information, such the state of health, assessed by the patient or by the treating

10 physician or nurse.

15

The device is preferably also capable of communicating with external software, installed on an external computer, such as a PC. It is then possible e.g. to send measurements and other stored data from a smartcard (via the inventive device) to said external software.

According to one embodiment, it is also possible to send measurement and other stored data from the internal memory of the device to external software.

Likewise, according to another embodiment, it is also possible to download software updates to the inventive device from external software.

It is preferably further possible to send an error log from the inventive device to external software.

The device according to the present invention may further

25 include an AC/DC converter, preferably an external converted
feeding the device with DC. The device may further contain a
rechargeable battery, a power unit supplying the required
voltage to the components of the device. A battery for memory
and sensor back-up is also included in the system.

30 The device according to the invention preferably comprises an internal memory, preferably with the possibility to store data

from at least 2000 measurements. Alternatively, or in addition to the internal memory, the device will be capable of recording information on a removable data medium, such as a so called smartcard, a memory card, a microprocessor card, an EEPROM, a mini disc, diskette, or the like. The data to be recorded in the internal memory and/or on a smartcard or similar may comprise:

- date and time of measurement
- measured FENO
- 10 sensor ID No.
 - device ID No.
 - asthma and comfort parameter inputs in an advanced operating mode
 - medication parameter inputs in an advanced operating mode
- 15 Optionally, when measurement data memory is full, the oldest data is overwritten with new data.

Preferably also an error list is provided either in the internal memory, or on the smartcard, or in duplicate on both of these, consisting of at least the following entries:

20 - error number

25

- timestamp

According to a preferred embodiment, patient configuration is stored on the smartcard. The patient information may be general information, relating to different patient groups, such as male/female, child/adult/elderly, and further information, if diagnostically relevant. Preferably the smartcards are colour coded, each colour corresponding to one patient group. Preferably the smartcards are printed with a clearly visible number or code, so that individual cards can

0 be distinguished. Preferably the smartcards have an area where the name of the patient can be printed or hand-written. The patient information may also be individual information, relating to a specific patient. In both cases, the information may comprise:

- recommended max FE_{NO} value
- $_{\rm -}$ recommended min $_{\rm FE_{NO}}$ value
 - one of the available patient age group modes (via chosen smartcard)

The internal memory of the device according to the invention is preferably able to store both NO measurements and user input, including input e.g. by manufacturer and information for maintenance personnel. For example, the device is able to store errors to said internal memory.

The device is preferably also able to store configuration parameters to the internal memory, such as:

- 15 production date
 - calibration date
 - sensor input calibration parameters

The device is preferably also able to store settings and operating parameters to the internal memory, such as:

- 20 top LED intensity
 - volume
 - contrast
 - alarm time
 - current time and date
- According to a preferred embodiment, the electrochemical NO sensor is integrated to a circuit comprising a memory, in the following called "sensor memory". This is preferably a memory circuit of EEPROM-type. Said sensor memory is capable of communicating and/or interacting with the internal memory and control circuits of the device.

In other words, it will be possible to read data from the sensor memory, such as:

25

30

- sensor calibration data
- expiration date
- sensor depletion control parameters
- sensor integrity data
- 5 It is also possible to decrease remaining number of measurements on sensor at the rate at which measurements are performed.

According to a preferred embodiment, the inventive device will be capable of indicating when the expiration date of the sensor is approaching, or when the remaining number of measurements reaches a predetermined low value, and alerting the user. When the expiration date is reached, or when the number of measurements exhausted, the device will block further use of the sensor and alert the user.

15 According to the invention, the device keeps track of current time and date. It will also be possible to set current time and date, and current time and date is retained during backup battery operation.

There are numerous advantages related to the provision of a sensor memory. One is safety, as the expiration date will be automatically checked, and the use of the sensor automatically blocked when this date is passed. Another safety issue is the automatic control of the number of measurement, where the use of the sensor is automatically blocked when a maximum number of measurements is reached.

There may also be provided a feature for measuring ambient NO levels with the device. The ambient measurement process may consist of ambient stabilization, ambient measure, zero stabilization and zero measure phases in mentioned order. The process is similar to that of the diagnostic NO measurement, with the exception that the sample pump is used to extract the sample directly from the ambient air.

20

The result of the measurement is calculated as the difference between the mean values of ambient and zero gas. The result is then be multiplied by a calibration constant to get sensor output value. The result is further multiplied by a sensor calibration constant to get ppb value.

The device according to the invention preferably includes means and functions for temperature control. According to one embodiment, the means for temperature control consist of a Peltier element. The sensor temperature is kept at value set in internal configuration memory: If the measured temperature is outside the set conditions for use, the element will be off.

The temperature will be considered invalid if it has been outside the controlled temperature range for a preset period of time. If the temperature is invalid for a preset period of time, an error message is issued.

According to the invention, pressure is always measured relative ambient pressure. Ambient pressure is defined as the pressure when the user requests a measurement. During the first 1 second of inhalation, the pressure is required to be maintained below a value set in the internal configuration memory. During the exhalation phase, the pressure is further required to be maintained within max and min values set in the internal configuration memory. During the exhalation phase, a warning will be issued if the pressure is not within high and low values set in the internal configuration memory. During the processing phase, after a preset transition time, the pressure is required to remain at ambient level.

According to one embodiment, the device includes a smartcard interface. The smartcard is inserted by the user when activating the device or before a measurement is performed, and is to remain inserted during the entire measurement

25

process. If there is less than 10% free measurement storage capacity on said smartcard, the user will be notified before measurement.

The device and method according to the invention preferably also comprises a self test function. The following functionalities are tested each time external power is applied:

- Correct application firmware checksum
- Main board memory responding
- 10 Power consumption of actively controlled components of the device

If self test fails an error message will be issued.

According to one embodiment, errors are always logged to
15 database on main board memory. If a patient smartcard is
inserted when an error occurs, the error will be logged to
smartcard.

Importantly, the user will be notified when an error occurs.

The device and method according to the present invention

20 offers many advantages. Numerous sources of error are avoided,
or minimized.

For example, as the device registers the negative pressure when a patient inhales through the device, and thus through the NO scrubber supplying NO free air, the correct performance of the inhalation is controlled. The pressure check is further supplemented by feedback, guiding the patient to perform a correct inhalation and exhalation, or informing the patient when the inhalation and exhalation was correct, and when the breathing maneuver were insufficient.

The device and method further have built-in means and functions or operations, which constantly ensure that the electrochemical sensor functions properly.

Although the invention has been described with regard to its

5 preferred embodiments, which constitute the best mode
presently known to the inventors, it should be understood that
various changes and modifications as would be obvious to one
having the ordinary skill in this art may be made without
departing from the scope of the invention as set forth in the

10 claims appended hereto.

15

Claims

- Devic for diagnostic NO measurements, characterized in that said device comprises an electrochemical NO sensor (11), an inlet (1) through which a patient exhales at a predetermined flow rate and pressure, a buffer chamber (7) for temporarily storing a portion of the exhaled air, and means (10) for feeding said portion of the sample to said NO sensor at a flow rate much below the exhalation flow rate.
- 2. Device according to claim 1, wherein the device comprises a flow regulator (6) for controlling the exhalation flow.
 - 3. Device according to claim 1, wherein the means (10) for feeding said portion of the sample to said NO sensor operates to create a steady flow of about 0.5 to 10 ml/s during a time period longer than the duration of the exhalation.
 - 4. Device according to claim 1, wherein the device comprises means (12) for equalizing the humidity of the sample.
- 5. Device according to claim 4, wherein said means for equalizing the humidity of the sample consist of a length of tube, made from a catalytic membrane material.
 - 6. Device according to claim 1, wherein the device comprises means for controlling the parameters of the inhalation and exhalation.
- 7. Device according to claim 6, wherein said means comprise a pressure sensor (2) and means for giving feedback to the patient.

- 8. Device according to claim 6, wherein said means further comprise a flow sensor and means for controlling the flow and/or giving feedback to the patient.
- 9. Device according to claim 6, wherein said means further comprise a pressure sensor (2) capable of measuring absolute pressure in order to make it possible to compensate for varying partial pressure of NO depending on variations in ambient pressure.
- 10. Device according to claim 1, wherein the buffer chamber 10 (7) is a maze.
 - Device according to claim 1, wherein the buffer chamber
 (7) consists of a cylinder with a movable piston.
 - 12. Device according to claim 1, wherein the buffer chamber(7) consists of a length of tube.
- 13. Device according to claim 1, wherein the device comprises a NO-scrubber through which a patient inhales directly prior to exhaling into the device, thus ensuring that the respiratory tract of the patient is filled with NO-free air.
- 20 14. Device according to claim 1, wherein the device further comprises an interface for receiving a smartcard on which data linked to a specific user can be stored, and onto which measurement data can be recorded.
- 15. Device according to claim 14, wherein the device is capable of adapting to different users or different user groups, based on the data stored on the smartcard.
 - 16. A smartcard carrying data concerning an individual patient or patient group, wherein at least the following data are recorded on said smartcard

15

20

25

- date and time of measurement
- measured FENO
- sensor ID No
- device ID No
- 5 17. Method for diagnostic NO measurements using a device comprising an electrochemical NO sensor, characterized in that:
 - a patient exhales into said device,
 - the exhalation flow rate and pressure is controlled to a preset value, respectively,
 - a sample of the exhalation air is temporarily stored in a buffer chamber,
 - said sample is fed to said electrochemical NO sensor at a flow rate much lower than the exhalation flow rate, and
 - the NO concentration is determined in said sample.
 - 18. Method according to claim 17, wherein the patient inhales NO-free air prior to exhaling into the device.
 - 19. Method according to claim 17, wherein the patient inhales through a NO-scrubber integrated in said device, supplying NO-free air to the patient, prior to exhaling into the device.
 - 20. Method according to claim 17, wherein the patient is given audible or visual feedback during the inhalation and exhalation steps, in order to support the correct performance of said steps.
 - 21. Method according to claim 17, wherein the exhalation flow rate is controlled to a value of about 20 to 800

ml/s and the rate at which the sample is fed to the sensor is about 0.5 to 10 ml/s.

- 22. A method according to any one of claims 17 21, wherein at least one of the following steps is included:
- the patient enters information relating to his/her intake of a medicament
 - the patient subjectively assesses his/her state of health and enters corresponding information.
- 23. A computer program comprising the instructions for performing the method according to any one of the claims 17 through 22.
 - 24. A computer program according to claim 23, when stored on a medium.

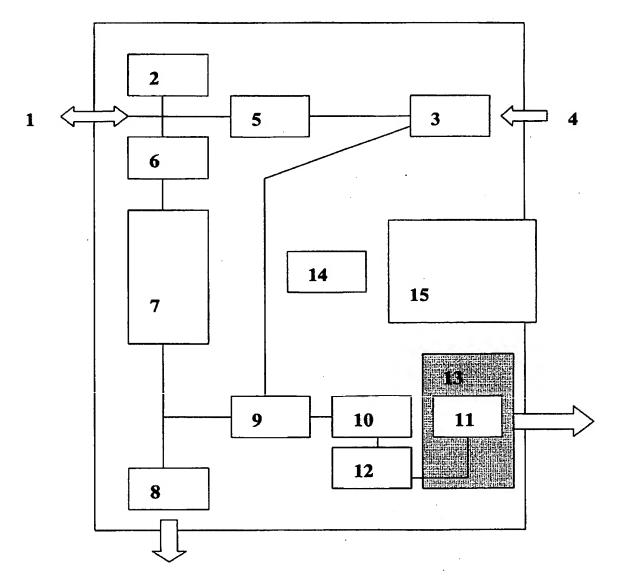
15

::-

10

Abstract

A handheld, small but accurate and reliable device for diagnostic NO measurements using an electrochemical NO sensor can be constructed if special technical considerations are taken. By temporarily storing a portion of the exhaled air, and feeding this to the sensor at a flow rate much lower than the exhalation flow rate, the accuracy and sensitivity of a system / method involving an electrochemical sensor can be increased. The method for diagnostic NO measurements using electrochemical NO sensor comprises steps for controlling the inhalation of NO free air, as well as the exhalation, both by built-in means and by audible and/or visual feedback to the patient.



<u>Fig. 1</u>